

Date: 29/11/2023

To  
The Secretary,  
The Calcutta Stock Exchange Association Limited  
7, Lyons Range,  
Kolkata - 70 001

Dear Sir,

Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the Half year ended on **30<sup>th</sup> September, 2023**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate duly signed by **Tapan Kumar Banerjee**, Practicing Company Secretary for the **half-year ending on 30<sup>th</sup> September, 2023** for compliance of Regulation 40(9) of SEBI Listing Regulations, 2015 for your information and record

Kindly acknowledge receipt.

Thanking you,

Yours faithfully  
For Apex Enterprises (India) Limited

SUBRATA PAL  
DIRECTOR  
[DIN: 00016151]  
Encl. as above



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATION, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> September 2023**

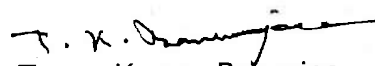
TO WHOMSOEVER IT MAY CONCERN

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Apex Enterprises (India) Limited** maintained by **M/s. MCS Share Transfer Agent Limited, the Registrar & Share Transfer Agent of the Company** of 383 Lake Gardens, 1<sup>st</sup> Floor, Kolkata-700045 pertaining to transfer of Equity Shares of the company for the period from **1<sup>st</sup> April 2023 to 30<sup>th</sup> September 2023** for the purpose of issuing a Certificate as per **Regulation No. 40(9)(10) of the SEBI L.O.D.R. Regulation 2015** based on the information provided by the Company and I hereby certify that the company has delivered during half year ended on **30<sup>th</sup> September 2022**

- A) Share Certificate relating to the Share Transfer Forms received during the period from **1<sup>st</sup> April 2023 to 30<sup>th</sup> September 2023** as entered in the Memorandum of Transfers has been issued within One month from respective date of lodgment of each form excepting those rejected on technical grounds; and
- B) Share Certificates in respect of requests for exchange of Duplicate and Split certificates have been issued within One month of lodgment

Place: Kolkata  
Date: 10<sup>th</sup> November 2023

Signature  
Name  
Membership  
No.  
C. P. Number

  
Tapan Kumar Banerjee  
**1242**  
**17163**