

Date: 29th November, 2023

To
The Secretary,
The Calcutta Stock Exchange Association Limited
7, Lyons Range,
Kolkata - 70 001

Dear Sir,

Sub:	Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the Half year ended on 31st March, 2023
------	--

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate duly signed by Tapan Kumar Banerjee, Practicing Company Secretary for the **half-year ending on 31st March, 2023** for compliance of Regulation 40(9) of SEBI Listing Regulations, 2015 for your information and record

Kindly acknowledge receipt.

Thanking you,

Yours faithfully
For Apex Enterprises (India) Limited

SUBRATA PAL
DIRECTOR
[DIN: 00016151]
Encl. as above



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015**

FOR THE HALF YEAR ENDED 31st March 2023

TO WHOMSOEVER IT MAY CONCERN

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Apex Enterprises (India) Limited** maintained by **M/s. MCS Share Transfer Agent Limited, the Registrar & Share Transfer Agent of the Company** of 383 Lake Gardens, 1st Floor, Kolkata-700045 pertaining to transfer of Equity Shares of the company for the period from **1st October 2022 to 31st March 2023** for the purpose of issuing a Certificate as per **Regulation No. 40(9)(10) of the SEBI L.O.D.R. Regulation 2015** based on the information provided by the Company and I hereby certify that the company has delivered during half year ended on **31st March 2023**

- A) Share Certificate relating to the Share Transfer Forms received during the period from **1st October 2022 to 31st March 2023** as entered in the Memorandum of Transfers has been issued within One month from respective date of lodgment of each form excepting those rejected on technical grounds; and
- B) Share Certificates in respect of requests for exchange of Duplicate and Split certificates have been issued within One month of lodgment

Place: Kolkata
Date: 17th April 2023

Signature
Name
Membership No.
C. P. Number



T. K. Banerjee
Tapan Kumar Banerjee
1242
17163